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FRANCIS FUKUYAMA

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STATE BUILDING:
GOVERNANCE AND WORLD ORDER
IN THE TWENTY-FIRST CENTURY

国家构建：
21 世纪的国家治理与世界秩序

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STATE-BUILDING:
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	应对市场失灵			促进公平
最小功能	**提供纯粹的公共产品**			**保护穷人**
	国防			扶贫计划
	法律与秩序			救灾
	产权保护			
	宏观调控			
	公共卫生			
中等功能	**应对外部性**	**反垄断**	**克服信息不完整**	**提供社会保险**
	教育	公共设施管理	保险	养老金重新分配
	环保	反托拉斯	金融监管	家庭补助
			保护消费者	失业保险
积极功能	**协调私人活动**			**再分配**
	培育市场			资产再分配
	集体激励			

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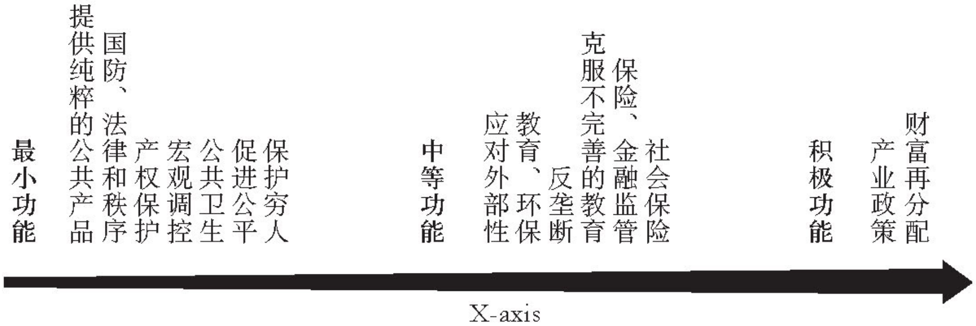
[illegible]Y[illegible]



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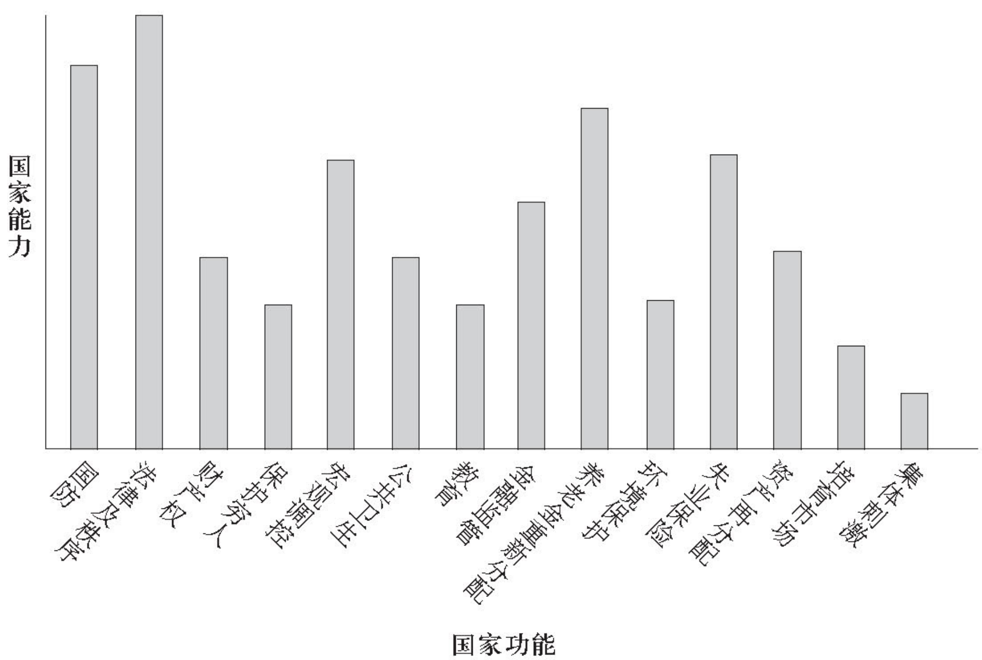
□20□□90□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□Y□□□□□□□□□□□□□□□□“□□□□”□Transparency International□□□□“□□□□”□□“□□□□□□□□□□□”□□□199□□□□□□□□□Kaufmann, Kraay, and Mastruzzi 2003□□□□□□□□□□□□□□□□□□□□□□□□□□□ www.worldbank.org/wbi/governance/govdata2002□□□□□□□□□□□□□□□□□□□□□□□□□□□□Freedom House□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□“□□IV”□Polity IV□□□□□□□□□□□□□□[1]



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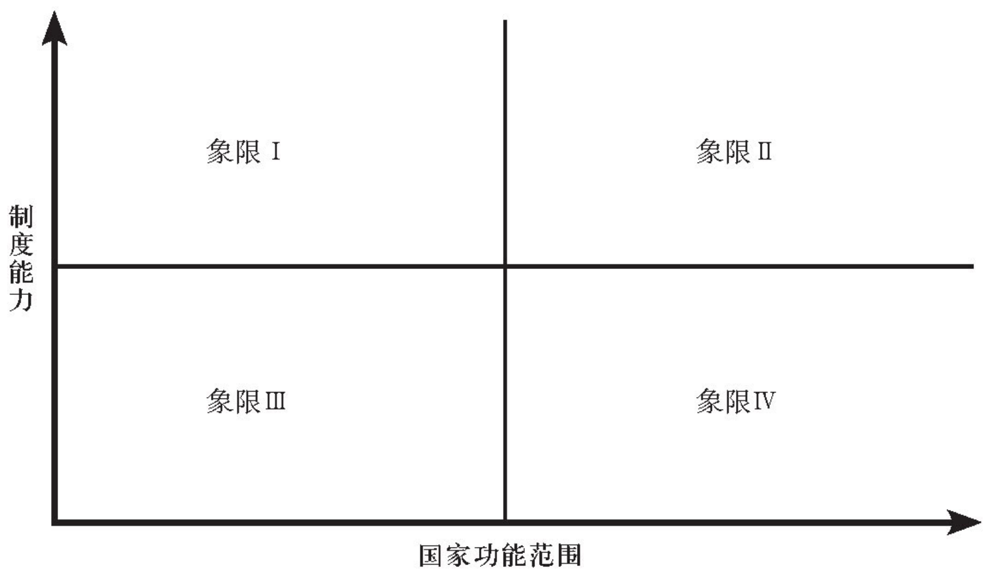

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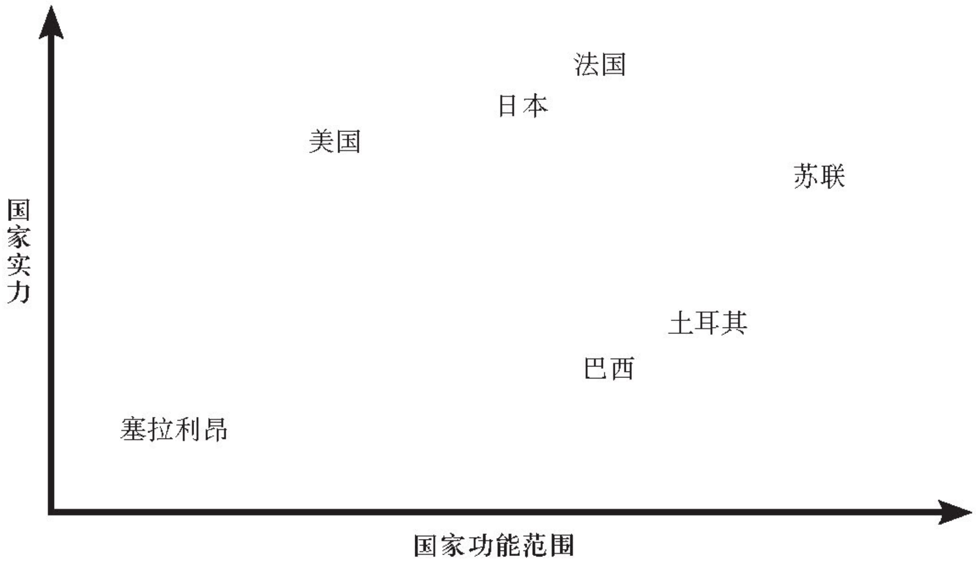
[illegible]outright income transfer[illegible]social program[illegible]


法国
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土耳其
巴西
塞拉利昂
国家功能范围

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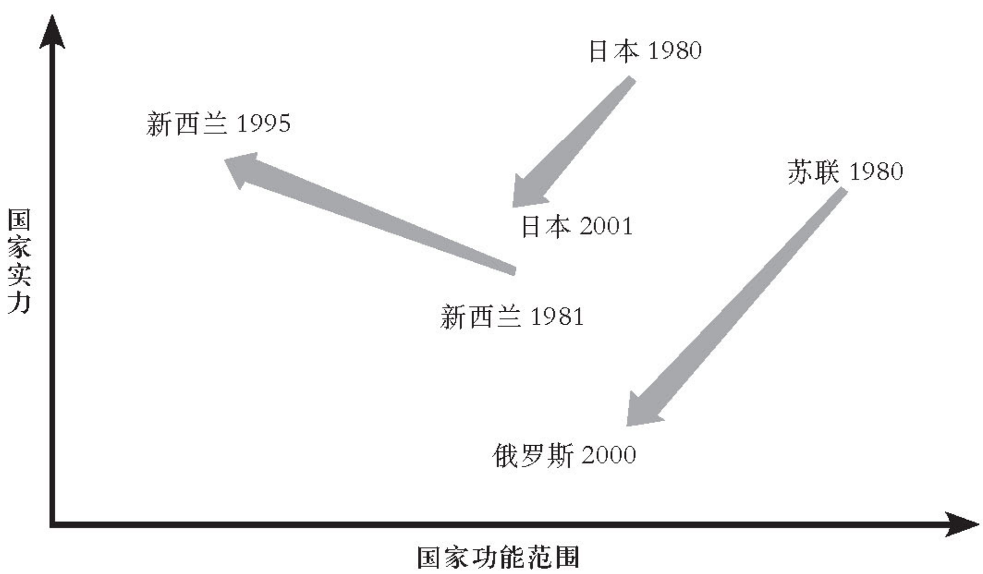
日本 1980
新西兰 1995
苏联 1980
日本 2001
国家实力
新西兰 1981
俄罗斯 2000
国家功能范围

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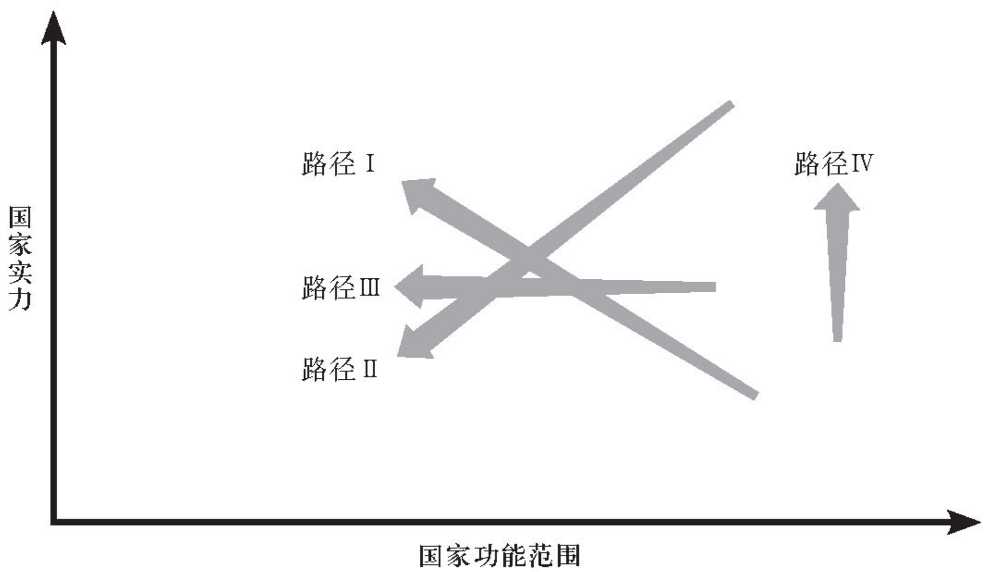
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国家功能范围

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[illegible]20[illegible]80[illegible]90[illegible]1971[illegible]18 213[illegible]1990[illegible]43 230[illegible]

[illegible]80[illegible]90[illegible]Y[illegible][2]

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[illegible]·[illegible]（Milton Friedman，2001[illegible]“[illegible]”“[illegible]”[illegible]“[illegible]”（Milton Friedman[illegible]Gwartney and Lawson 2002）

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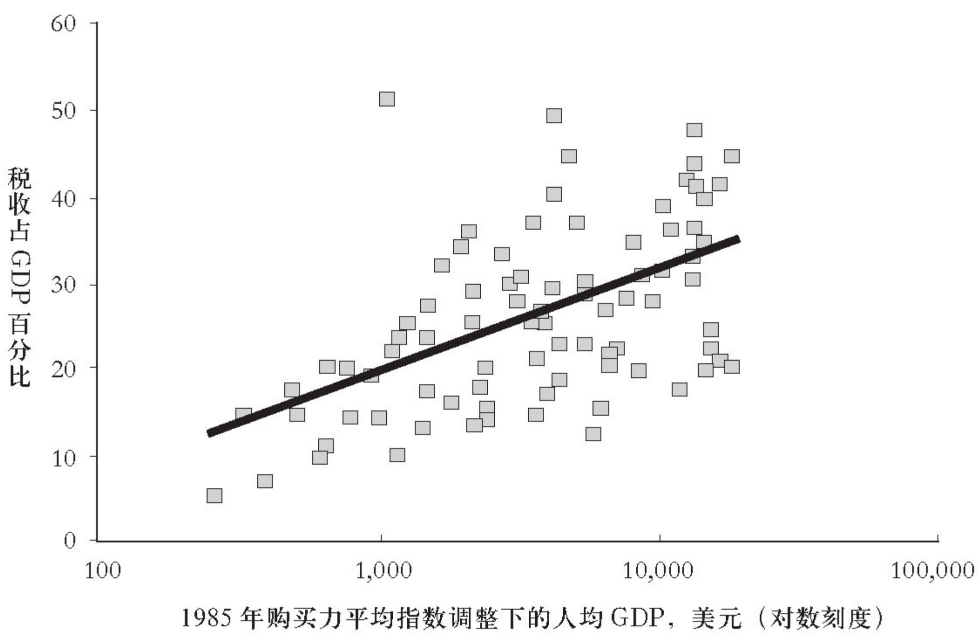

[illegible]8 [illegible]GDP[illegible]

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[illegible]Robinson and Acemoglu 2000[illegible]Easterly 2001[illegible]van de Walle 2001[illegible]Klitgaard 1995[illegible]Grindle 1997, 2000[illegible]Tendler 1997[illegible]World Bank 1997[illegible]2000[illegible]2002[illegible]

[illegible]“[illegible]”[illegible]Woolcock and Pritchett 2002[illegible]“[illegible]”[illegible]“[illegible]”[illegible]“[illegible]”[illegible]

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[illegible]·[illegible]Juan Linz 1990[illegible]——[illegible]Horowitz 1990[illegible]Lijphart 1996[illegible]Lardeyret 1996[illegible]Cowhey and Haggard 2001[illegible]multimember electoral district[illegible]geographically small constituency[illegible]open-list proportional representation[illegible]Shefter 1993[illegible]

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[illegible]Huntington 1967[illegible]Haggard and Kaufmann 1995[illegible]

[illegible]Sen 1999[illegible]Marcos[illegible]

[illegible]1997—1998[illegible]1997—1998[illegible]

[illegible]Barro 1997[illegible]GDP[illegible]

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要素	领域	可传授性
组织设计与管理	管理学、公共行政、经济学	高
制度设计	政治学、经济学、法学	中
合法性基础	政治学	中到低
社会和文化因素	社会学、人类学	低

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[illegible]Krasner 1984[illegible]

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[illegible]“[illegible]”[illegible]nation-building[illegible]“[illegible]”[illegible]failed state[illegible]

[illegible]“[illegible]”[illegible]

[illegible]53 000[illegible]1 000[illegible]Shefter 1993[illegible]

[illegible]Boot 2003[illegible]

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[illegible]3[illegible]

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[illegible]“[illegible]”[illegible]“[illegible]”[illegible]2[illegible]

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[1] [illegible]·[illegible]Monty Marshall[illegible] [illegible].[illegible]Keith Juggers[illegible] www.cidcm.urnd.edu/inscr/polity/ [illegible]

[2] [illegible]OECD[illegible]20[illegible]90[illegible]David Sanger and Nicholas Kristof, “How U.S. Wooed Asia To Let Cash Flow In,” *New York Times*, 16 Feb, 1999, sec. A, p.1.

[3] [illegible]World Bank 2002[illegible]

[4] [illegible]——[illegible]20[illegible]70[illegible]——[illegible]9·11[illegible]

[5] [illegible]19[illegible]

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[illegible]——[illegible]Tullock 1965[illegible]Buchanan and Tollison 1972[illegible]“[illegible]”[illegible][2][illegible]Krueger 1974[illegible]Buchanan, Tullock, et al. 1980[illegible]

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[illegible]·[illegible]Herbert Simon[illegible]“[illegible]”[illegible]satisfying[illegible]*Administrative Behavior*, 1957[illegible]Cyert and March 1963[illegible]Olsen and March 1976[illegible]March and Cohen 1974[illegible]Cohen, March, et al. 1972[illegible]“[illegible]”[illegible]Brown and Duguid 2000[illegible]

[illegible]—[illegible]Simon, Smithberg et al. 1961[illegible]——[illegible]CEO[illegible]“[illegible]”[illegible]

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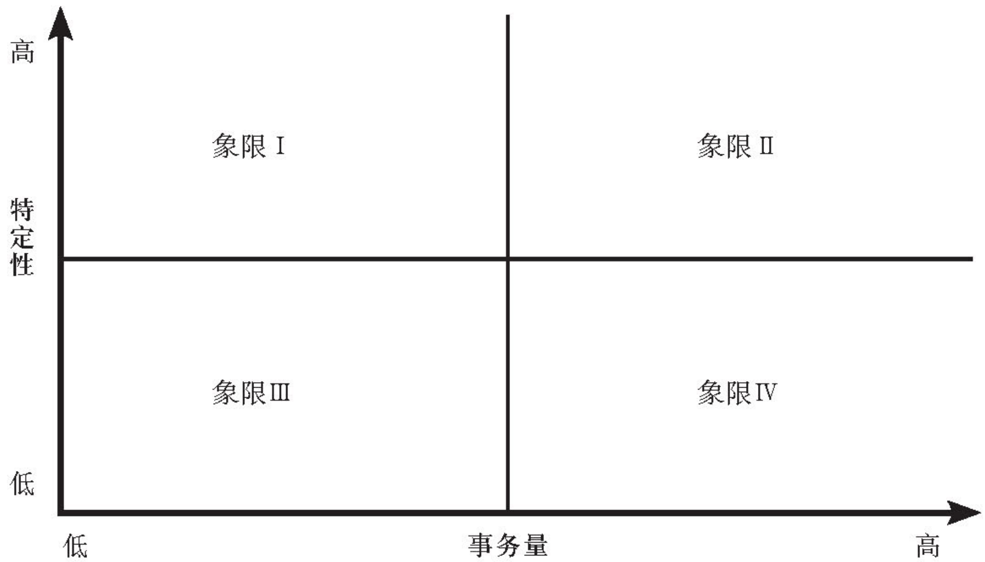

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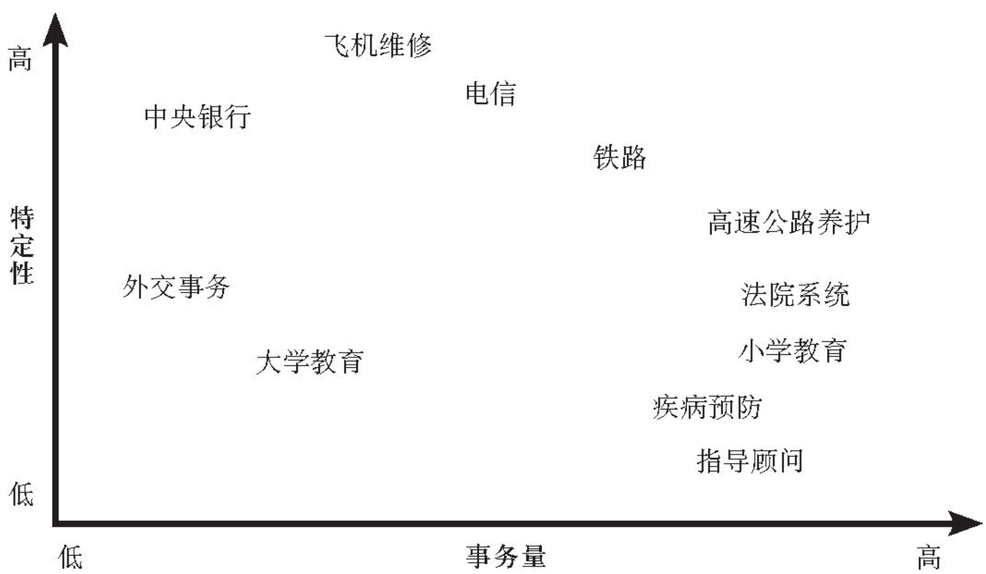

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[illegible]Richard Borsuk, “In Indonesia, of Power Multiplies Opportunities for Bribery, Corruption,” *Wall Street Journal*, 30 Jan. 2003[illegible]

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弗朗西斯·福山作品 07

国家构建：
21世纪的国家治理与世界秩序

STATE BUILDING:
GOVERNANCE AND WORLD ORDER
IN THE TWENTY-FIRST CENTURY

国家构建是国际社会最重要的问题之一，因为软弱或失败的国家是世界上很多最严重问题的根源，从贫穷到艾滋病，从毒品到恐怖主义，不一而足。虽然我们对于国家构建已经有所了解，但还有很多东西我们知之甚少，特别是如何将强有力的制度移植到发展中国家去。我们知道如何跨越国际边界转移资源，但有效运转的公共制度却需要某些思维习惯的支持，并且它的运行方式很复杂，因此难以移植。对于这个领域，我们需要更多的思考、关注和研究。

——弗朗西斯·福山

通常而言，学术著作的贡献可以有多种方式。有的以其哲理的深刻与逻辑的严密在理论上有所创新；有的以其资料的丰富扎实为学术界提供新的增量知识；有的以其视角的敏锐新颖启迪人们思考。福山自 1989 年发表《历史的终结?》以来，始终站在政治理论界探索的前沿，不断思考世界出现的新问题，探索解释这些问题甚至解决这些问题的方式。他的国家理论对于中国学术界以广阔的历史视角与宽泛的比较视角思考中国自身的国家问题一定会有诸多启迪意义。

——李强

国家的构建和巩固是一项巨大的任务；这一进程一定会重新抬到每一个国家的面前。在这部极其重要的书中，福山考察了国家构建的概念，讨论了由国家软弱引起的相关问题及它对单个国家和国际的影响。从阿富汗到伊拉克，从苏丹到刚果，他考察了如何移植运转良好的公共制度以便取得持久之益的路径。他还考察了软弱国家对国际秩序造成的后果，论述了国际社会合法干预以提升软弱国家的根据。

上架建议：政治、管理

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